

Privacy Policy Statement for Apley Speech & Language Therapy Services

When you use *Apley Speech & Language Therapy Services* you trust us with your information. This privacy policy is meant to help you understand what data we collect, why we collect it, and what we do with it. We have tried to make it as simple as possible but if you have any questions please contact us.

Patricia Curtis assumes the function of data controller and supervises the compliance with General Data Protection Regulation (GDPR) within the business.

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1 Information we collect

Apley Speech & Language Therapy Services holds personal data as part of conducting a professional service. The data follows under the following headings: healthcare records, educational records, clinical records, general administrative records, and financial records.

1.1 Healthcare records

A healthcare record refers to all information collected, processed and held both in manual and electronic formats pertaining to the service user and their care. Speech and language problems can be complex, and a wide range of information may be collected in order to best meet the needs of the client, and to maintain a high quality service which meets best practice requirements. In order to provide a high quality service, a range of information may be collected.

Examples of data collected and held on all current and active clients include the following:

- Contact details: Name, address, phone numbers, e-mail address,
- Personal details: date of birth,
- Other contacts: name and contact details of GP and any other relevant healthcare professionals involved.

For child services:

- Parent/guardian details
- Description of family
- Educational placements.
- Pre- and post-natal history: This can include information relating to mother's pregnancy, and child's birth.
- Developmental data: developmental milestones, feeding history, audiology history.
- Medical details: such as any relevant illnesses, medications, and relevant family history. Reports from other relevant allied health professionals such as: Audiology, Psychology, CAMHS (Child & Adolescent Mental Health Services), Occupational therapy, Physiotherapy, Ophthalmology.

1.2 Educational records

Relevant Individual Educational Plans (IEPs), progress notes from educational staff and school reports may be held.

1.3 Clinical records

Specific data in relation to communication skills may be collected and held, such as assessment forms, reports, case notes, e-mails, text messages and transcripts of phone. Audio and video files may also be collected and stored.

1.4 General administrative records

Apley Speech & Language Therapy Services may hold information regarding attendance reports and accident report forms.

1.5 Financial records

A financial record pertains to all financial information concerning the practice, e.g. invoices, receipts, information for Revenue. *Apley Speech & Language Therapy Services* may hold data in relation to: on-line purchasing history, card payments, bank details, receipts and invoices. Information will include name of bill payer, client name, address and record of invoices and payments made.

2 Where we get our information

Personal data will be provided by the client, or in the case of a child (under 16 years), their parent(s)/guardian(s). This information will be collected as part of a case history form prior to, or on the date of first contact.

Information may also be provided directly from relevant third parties such as schools, medical professionals and allied health professionals, with prior consent from the parent(s)/guardian(s).

3 How we use the information that we collect

We use the information we collect to provide assessment and therapy as per the relevant professional guidelines, as well as to maintain the general running of the business, such as running our electronic booking system, keeping our accounts and updating you of any changes in policies or fees.

3.1 Data retention periods

The retention periods are the suggested time periods for which the records should be held based on the organisation's needs, legal and/or fiscal precedence or historical purposes. Following the retention deadline, all data will be destroyed under confidential means.

3.2 Client Records

3.2.1 Clinical Records

Apley Speech & Language Therapy Services keeps both physical and electronic records of clinical data in order to provide a service.

- The preferred format for clinical data is paper.
- Video records/ voice recordings relating to client care/videoconferencing records may be recorded with consent, analysed and then destroyed.

3.2.2 Financial Records

Apley Speech & Language Therapy Services keeps electronic & paper records of financial data from those who use our services.

Section 886 of the Direct Tax Acts states that the Revenue Commissioners require records to be retained for a minimum period of six years after the completion of the transactions, acts or operations to which they relate. These requirements apply to manual and electronic records equally.

- Financial Data is kept for 6 years to adhere to Revenue guidelines.
- Financial Data (including non-payment of bills) can be given to Revenue at Revenue's request.

3.2.3 Contact Data

Contact Data is kept for 6 years to allow processing of Financial Data if required. (This may be retained for longer for safety, legal request, or child protection reasons.)

3.3 Exceptions

If under investigation or if litigation is likely, files must be held in original form indefinitely, otherwise files are held for the minimum periods set out above.

4 Information we share

We do not share personal information with companies, organisations and individuals outside *Apley Speech & Language Therapy Services* unless one of the following circumstances apply:

4.1 With your consent:

We will share personal information with other relevant health care providers or educational providers when we have your written consent to do so. We require opt-in consent for the sharing of any sensitive information.

4.2 For legal reasons:

We will share personal information with companies or organisations outside of *Apley Speech & Language Therapy Services* if disclosure of the information is reasonably necessary to:

- Meet any applicable law, regulation, legal process or enforceable governmental request.
- Meet the requirements of the Children First Act 2015.
- To protect against harm to the rights, property or safety of Apley Speech & Language Therapy Services, our service users or the public as required or permitted by law.

4.3 For processing by third parties/external processing

The following third parties are engaged for processing data:

Who	Type of data	Purpose
Accountant	Financial	Processing financial accounts

5 Sharing Data

5.1 Legal requirements

Apley Speech & Language Therapy Services is required to share data with external parties in the following circumstances:

- Compliance with local tax and audit laws.
- Compliance with child protection.
- Compliance with law enforcement.

5.2 Financial requirements

Apley Speech & Language Therapy Services also is required to share Financial data with Noel Geraghty in order to comply with local tax laws. *Apley Speech & Language Therapy Services* is obtaining a copy of Noel Geraghty's own Data protection policy.

5.3 Other parties

Any transfers outside the above which contain Personal Identifying Information (PII) to third parties such as hospitals, GPs, nursing homes, are only made once the owner of the data has given express written permission by letter or email to do so.

6 How and when we obtain consent

A consent form will be attached onto any initial bookings via our on-line booking system. Users will be directed to read the privacy statement and to tick to agree to the terms. Services cannot be initiated without ticked consent to our privacy policy.

Should a client wish to withdraw their consent for data to be processed, they can do so by contacting *Apley Speech & Language Therapy Services* at apleyslt@eircom.net

7 How we protect your data

In accordance with the General Data Protection Regulation (GDPR), we will endeavour to protect your personal data in a number of ways:

7.1 By limiting the data that we collect in the first instance

All data collected by us will be collected solely for the purposes set out at 1 above and will be collected for specified, explicit and legitimate purposes. The data will not be processed any further in a manner that is incompatible with those purposes save in the special circumstances referred to in section 5.1. Furthermore, all data collected by us will be adequate, relevant and limited to what is necessary in relation to the purposes for which it is collected which include, *inter alia*, the assessment, diagnosis and treatment of speech, language and communication disorders.

7.2 By transmitting the data in certain specified circumstances only

Data will only be share and transmitted, be it on paper and/or electronically as set out in section 3.

7.3 By keeping only the data that is required,

When it is required and by limiting its accessibility to any other third parties.

7.4 By disposing of/destroying the data once the individual has ceased receiving treatment

Within *2 years* of the completion of this treatment apart from the special categories of personal data as set out at 1.1 above. Where data is required to be held by us for longer than the period of *2 years*], we will put in place appropriate technical and organisational measures to ensure a level of security appropriate to the risk. These may include measures such as the encryption of electronic devices, pseudonymisation of personal data, and/or safe and secure storage facilities for paper/electronic records.

7.5 By retaining the data for only as long as is required

which in this case is 2 years, except for circumstances in which retention of data is required in circumstances set out at part 1.1 above or in certain specific circumstances as set out at Article 23(1) of the GDPR.

7.6 By destroying the data securely and confidentially after the period of retention has elapsed.

This could include the use of confidential shredding facilities or, if requested by the individual, the return of personal records or a copy of records can be requested by the individual.

7.7 By ensuring that any personal data collected and retained is both accurate and up-to-date.

8 Protecting your Rights to Data

8.1 Children

For children under the age of 16, data access requests are made by their guardians. When a child turns 16, then they may make a request for their personal data. However, this is subject to adherence with the Children First Act.

9 Security

Apley Speech & Language Therapy Services, as with most providers of healthcare services is aware of the need for privacy. As such, we aim to practice privacy by design as a default approach, and only obtain and retain the information needed to provide you with the best possible service.

All persons working in, and with *Apley Speech & Language Therapy Services* in a professional capacity are briefed on the proper management, storage and safekeeping of data.

All data used by *Apley Speech & Language Therapy Services*, including personal data may be retained in any of the following formats:

1. Electronic Data
2. Physical Files

The type of format for storing the data is decided based on the format the data exists in.

Where applicable, *Apley Speech & Language Therapy Services* may convert physical files to electronic records to allow us to provide a better service to clients.

9.1 Data Security

Apley Speech & Language Therapy Services understands that the personal data used in order to provide a service belongs to the individuals involved. The following outlines the steps which *Apley Speech & Language Therapy Services* use to ensure that the data is kept safe.

9.1.1 Electronic Data

All electronic data is contained in the following systems:
e.g. Email system, CMS, shared folders, etc.

Laptop storage System

- This system is physically located in *Ireland*
- This system provider is aware of their requirements for GDPR compliance.
- The system has an *INTERNAL to Patricia Curtis, Apley Speech and Language Therapy Services*
- This system has a Live Update for security enabled.
- Patricia Curtis is a sole trader and is the only person working in *Apley Speech & Language Therapy Services* to have access to records.
- All persons require a Log on and Password in order to access the records.
- A copy of the files are not made on the users' computer when in use.

9.1.2 Physical Files

All physical data is located in Wicklow Enterprise Centre, The Murrough, Wicklow, Co Wicklow

- *Patricia Curtis is the sole person working in Apley Speech & Language Therapy Services* to have access to these records.
- These records are kept in a container secured with a lock and key.

9.2 Security Policy

- 9.2.1 *Apley Speech & Language Therapy Services* understands that requirements for electronic and physical storage may change with time and the state of the art. As such, the data controller in *Apley Speech & Language Therapy Services* reviews the electronic and physical storage options available to *Apley Speech & Language Therapy Services* every year
- 9.2.2 All physical devices used by persons working in *Apley Speech & Language Therapy Services* which may contain any identifiable PII are not enabled with loss theft tracking and remote wipe abilities as any clinical data is kept in paper form at the physical address above.
- 9.2.3 All persons working in *Apley Speech & Language Therapy Services* are aware and briefed on and refresh the requirements for good data hygiene every year. This briefing compliance is monitored by the *Apley Speech & Language Therapy Services* data controller and includes, but is not limited to:
- Awareness of client conversations in unsecure locations.
 - Enabling auto-lock on devices when leaving them unattended, even within *Apley Speech & Language Therapy Services* locations.
 - Use of non-identifiable note taking options. (initials, not names).
 - The awareness of *Apley Speech & Language Therapy Services* procedure should a possible data breach occur, either through malicious (theft) or accident (loss) of devices or physical files.

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